

# Invariant natural killer T cells: an innate activation scheme linked to diverse effector functions

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Abstract | Invariant natural killer T (iNKT) cells exist in a ‘poised effector’ state, which enables them to rapidly produce cytokines following activation. Using a nearly monospecific T cell receptor, they recognize self and foreign lipid antigens presented by CD1d in a conserved manner, but their activation can catalyse a spectrum of polarized immune responses. In this Review, we discuss recent advances in our understanding of the innate-like mechanisms underlying iNKT cell activation and describe how lipid antigens, the inflammatory milieu and interactions with other immune cell subsets regulate the functions of iNKT cells in health and disease.

**Somatic recombination**  
(Also known as V(D)J recombination). The somatic rearrangement of variable (V), diversity (D) and joining (J) regions of the genes that encode antigen receptors, leading to repertoire diversity of both T cell and B cell receptors.

Invariant natural killer T (iNKT) cells are a specialized subset of T cells that use their T cell receptors (TCRs) to recognize self and foreign lipids presented by CD1d as cognate antigens. These cells have been shown to have either protective or harmful roles in many pathological states, including microbial infection<sup>1,2</sup>, auto-immune disease<sup>3</sup>, allergic disease<sup>4</sup> and cancer<sup>5</sup>. iNKT cells have previously been the subject of informative reviews<sup>6–11</sup>, but crucial questions underlying their basic biology remain. Recently, our understanding of iNKT cell biology has taken several important steps forward, particularly with regard to the lipid antigens recognized by the iNKT cell TCR. There is also now a better appreciation of the dynamic interactions that occur between iNKT cells and other leukocytes.

The profound immunomodulatory potential of iNKT cells stems from the unique way in which they combine both classically innate and classically adaptive immunological features to function as innate–adaptive ‘hybrids’. iNKT cells have an  $\alpha\beta$  TCR that depends on somatic recombination and selection in the thymus<sup>12</sup> (BOX 1). However, although somatic recombination has become largely synonymous with adaptive immunity, function does not necessarily follow form. iNKT cells exist in a ‘poised effector’ state<sup>13</sup>, are able to respond in an innate-like manner to danger signals and pro-inflammatory cytokines<sup>14–17</sup>, and exert their effector functions within hours of being activated, all of which are innate characteristics. Furthermore, iNKT cells share extensive transcriptional identity with both innate and adaptive immune cells<sup>18</sup>. We outline evidence that iNKT

cells adopt a largely innate activation scheme that is cleverly coupled to a diverse effector toolbox, utilizing nearly all of the effector capabilities of adaptive MHC-restricted T cells. This Review covers the establishment of the iNKT cell compartment, how the iNKT cell TCR recognizes microbial and self lipid antigens, how TCR signals and pro-inflammatory cytokines synergize for innate-like activation, and how iNKT cell activation is translated into a wide array of effector functions that orchestrate both innate and adaptive immune responses.

## Establishment of a poised effector compartment

**iNKT cells: the basics.** CD1d is a conserved, non-polymorphic MHC class I-like molecule and a member of a larger family that includes the CD1a, CD1b and CD1c molecules, all of which present lipids rather than peptides as antigens to non-MHC-restricted T cells<sup>7,8</sup>. CD1d is expressed by many cells of haematopoietic origin, including dendritic cells, macrophages, granulocytes and B cells<sup>19,20</sup>. Around the time that CD1-mediated presentation of lipid antigens was discovered<sup>21,22</sup>, a distinct population of T cells with an invariant TCR repertoire was identified<sup>23–25</sup>, and these invariant TCR-bearing lymphocytes were subsequently found to be CD1d restricted<sup>26–28</sup>. This CD1d restriction now defines NKT cells. Many studies not cited here contributed to the identification of iNKT cells, and a more detailed timeline of the early discoveries can be found elsewhere<sup>29</sup>. In 1997, Kawano and colleagues identified the first CD1d-presented lipid antigen for iNKT cells,

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**Box 1 | The thymic development of iNKT cells**

Invariant natural killer T (iNKT) cells develop in the thymus from the same precursor pool as MHC-restricted T cells. Their ontogeny has been reviewed elsewhere<sup>12,209,210</sup>. The development of iNKT cells depends on the stochastic generation of the canonical iNKT cell T cell receptor (TCR), and iNKT cells arise from the double-positive thymocyte pool<sup>211–213</sup>. Double-positive thymocytes, rather than thymic epithelial cells, are the major cell type that mediates the positive selection of iNKT cells, by displaying self lipid antigens that are recognized by the invariant TCR of iNKT cell precursors<sup>26,214,215</sup>. Although the identities of these self antigens remain unclear, plasmalogen lysophosphatidylethanolamine was recently identified as a candidate<sup>98</sup>. iNKT cell positive selection is thought to involve a relatively strong TCR signal<sup>216</sup>, and it was recently shown that this signal leads to elevated and persistent expression of early growth response protein 1 (EGR1) and EGR2. These molecules then direct the expression of promyelocytic leukaemia zinc finger protein (PLZF)<sup>217</sup>, a transcription factor proposed to be a 'master regulator' for iNKT cells<sup>218,219</sup>. PLZF regulates the iNKT cell effector programme in part through chromatin modification in association with a ubiquitin ligase known as cullin 3 (REF. 220). The finding that PLZF is not only necessary, but also sufficient, to confer much of the iNKT cell effector phenotype<sup>221–223</sup> suggests that thymic selection largely defines the functional potential of iNKT cells. In addition to signals through the TCR, positive signals provided through the homotypic interactions of the signalling lymphocytic activation molecule (SLAM) family members CD150 and LY108 contribute substantially to<sup>224</sup> (but are not required for<sup>225</sup>) iNKT cell development. Four stages are commonly used to describe the development of mouse iNKT cells in the thymus: stage 0 (CD24<sup>+</sup>CD44<sup>+</sup>NK1.1<sup>-</sup>), stage 1 (CD24<sup>+</sup>CD44<sup>+</sup>NK1.1<sup>-</sup>), stage 2 (CD24<sup>+</sup>CD44<sup>+</sup>NK1.1<sup>+</sup>) and stage 3 (CD24<sup>+</sup>CD44<sup>+</sup>NK1.1<sup>+</sup>). iNKT cells at all stages of development stain positive with  $\alpha$ -galactosylceramide ( $\alpha$ GalCer)–CD1d tetramers<sup>115,226–228</sup>. Stage 3 iNKT cells are largely a long-lived thymic population of unclear function<sup>213,229</sup>, and iNKT cells are mainly thought to leave the thymus following stage 2, after which they can complete their maturation in the periphery.

$\alpha$ -galactosylceramide ( $\alpha$ GalCer)<sup>30</sup> (FIG. 1). This specific and potent lipid antigen has contributed greatly to our understanding of iNKT cells. Although  $\alpha$ GalCer was identified from a marine sponge sample, this lipid may have originated from a bacterium present in the sample rather than from the sponge itself. CD1d tetramers loaded with  $\alpha$ GalCer enabled the definitive identification of a population of CD1d-restricted iNKT cells as a subset of T cells that in mice expresses mainly TCRs comprising a Va14Ja18 chain paired with a limited V $\beta$  chain repertoire (V $\beta$ 2, V $\beta$ 7, V $\beta$ 8.1, V $\beta$ 8.2 or V $\beta$ 8.3)<sup>31,32</sup>. An analogous limited TCR repertoire is used by human iNKT cells, for which a Va24Ja18 chain is paired almost exclusively with a V $\beta$ 11 chain. CD1d is highly conserved in mammals<sup>33</sup>, and there is a large degree of functional and structural similarity between the TCRs that are expressed by human and mouse iNKT cells<sup>34</sup>. In addition to iNKT cells, which have an invariant TCR  $\alpha$ -chain, there is another population of CD1d-restricted T cells, referred to as 'diverse' NKT (dNKT) or 'type II' NKT cells<sup>35–37</sup>, which express diverse TCR  $\alpha$ - and  $\beta$ -chains<sup>38,39</sup> and typically do not recognize  $\alpha$ GalCer. dNKT cells also recognize lipid antigens<sup>40,41,233</sup>, but we know relatively little about dNKT cells (as compared with our knowledge of iNKT cells), and this Review focuses on iNKT cells.

**The peripheral iNKT cell compartment.** Both mouse and human iNKT cells express a range of chemokine receptors and other homing receptors, features that are likely to regulate their distribution and localization<sup>42–44</sup>. iNKT cells have been best studied in the spleen and liver of C57BL/6 mice, where they represent approximately 1–2%

and 20–30% of lymphocytes, respectively<sup>31,32</sup>. Mature iNKT cells are distributed widely, including in the bone marrow, gastrointestinal tract and skin<sup>32,45</sup>. Compared with the recirculation of MHC-restricted T cells, iNKT cell recirculation from the tissues is limited. In the liver, for example, iNKT cells are retained as a result of constitutive interactions between lymphocyte function-associated antigen 1 (LFA1; also known as  $\alpha$ L $\beta$ 2 integrin) and intercellular adhesion molecule 1 (ICAM1)<sup>46</sup>. iNKT cells patrol liver sinusoids, and after activation by either pro-inflammatory cytokines or lipid antigens they arrest to exert effector functions<sup>47–49</sup>. Following the experimental induction of stroke, however, iNKT cells rapidly disappear from the liver, unexpectedly permitting bacteraemia from translocated colonic microflora. In this stroke model, it was not lipid antigens, but adrenergic signals, that regulated the migration of hepatic iNKT cells<sup>50</sup>. Intravital microscopy of reporter mice that express green fluorescence protein under the control of the CXC-chemokine receptor 6 (*Cxcr6*) promoter has revealed that iNKT cells are also stationed inside the vasculature of the lungs, and following exposure to  $\alpha$ GalCer, rather than arresting, they extravasate and contribute to intraparenchymal inflammation and adaptive immune responses<sup>51</sup>. iNKT cells are also highly enriched in mouse adipose tissue, where they have a role in metabolic regulation<sup>52–56</sup> (BOX 2). In the lymph nodes, iNKT cells are present at approximately tenfold lower levels than in the spleen, and they are enriched for a subpopulation of cells that has a NK1.1<sup>+</sup>CD103<sup>+</sup>CCR6<sup>+</sup> phenotype and produces interleukin-17A (IL-17A)<sup>45</sup>.

In human peripheral blood, iNKT cells account for 0.1–0.2% of T cells on average, but this proportion is highly variable among individuals, ranging from undetectable to over 1%<sup>57–59</sup>. In humans, iNKT cells are not highly enriched in the liver<sup>60,61</sup>, but they are enriched in the omentum, where they represent approximately 10% of T cells and, interestingly, are decreased in number in obesity<sup>62</sup>. In addition, a reduction in iNKT cell numbers in the peripheral blood has been correlated with several autoimmune or inflammatory conditions and cancers<sup>63</sup>. Whether decreased iNKT cell numbers in human peripheral blood are a cause or consequence of disease, or may in some cases simply reflect the recruitment of iNKT cells to inflamed tissues, is not known. Pathological states other than acute infection have only rarely demonstrated increased iNKT cell numbers, making the marked increase in both peripheral blood and lung iNKT cell populations that is seen in sickle cell disease particularly interesting<sup>64,65</sup>.

Microbial exposure can shape the iNKT cell compartment in early life. Germ-free mice were found to have increased iNKT cell numbers at mucosal sites, and this was dependent on CXC-chemokine ligand 16 (CXCL16)<sup>66</sup>. Reconstitution of the intestinal commensal microflora in these mice led to normalization of the iNKT cell compartment, but only when the commensals were introduced in the early neonatal period. Other interesting work has supported a role for commensal flora in shaping the iNKT cell compartment<sup>67</sup>, and specific lipid antigen-producing bacteria have been

**Omentum**

The folds of peritoneum between the stomach and abdomen that contain lymphoid aggregates known as 'milky spots'.

**Sickle cell disease**

An inherited disorder of erythrocytes, with a high prevalence in African and African American populations, that is caused by a mutation in the  $\beta$ -globin gene. A single nucleotide substitution (and the resultant amino-acid substitution) leads to the polymerization of haemoglobin when it is deoxygenated, ultimately resulting in the occlusion of small blood vessels. Disease manifestations include chronic anaemia, multiple painful crises, organ damage and increased susceptibility to bacterial infections.

| iNKT cell TCR ligand                | Structure | Antigenicity  |
|-------------------------------------|-----------|---------------|
| $\alpha$ GalCer                     |           | Highly active |
| $\beta$ GlcCer (C <sub>24:1</sub> ) |           | Active        |
| $\beta$ GlcCer (C <sub>16:0</sub> ) |           | Inactive      |
| Plasmalogen lysoPE                  |           | Active        |
| LysoPE                              |           | Inactive      |
| iGb3                                |           | Active        |
| Gb3                                 |           | Inactive      |
| $\alpha$ GlcDAG (vaccenic acid)     |           | Active        |
| $\alpha$ GlcDAG (oleic acid)        |           | Inactive      |

Figure 1 | **Selected iNKT cell lipid antigens and closely related non-antigenic lipids.** Shown are the structures of various lipid antigens for invariant natural killer T (iNKT) cells: the prototypical iNKT cell lipid antigen  $\alpha$ -galactosylceramide ( $\alpha$ GalCer); the lipid self antigens  $\beta$ -glucosylceramide ( $\beta$ GlcCer), plasmalogen lysophosphatidylethanolamine (plasmalogen lysoPE) and isoglobotrihexosylceramide (iGb3); and the microbial lipid antigen  $\alpha$ -glucosyldiacylglycerol ( $\alpha$ GlcDAG) from *Streptococcus pneumoniae*. For each lipid antigen, a closely related non-antigenic structure is shown, revealing how small structural changes in distinct regions of these lipids can critically affect lipid antigenicity. C<sub>24:1</sub> and C<sub>16:0</sub> indicate the N-acyl chain structure. TCR, T cell receptor.

proposed to play a part in this process<sup>68-70</sup>. Viral infections that occur in early life may also alter the iNKT cell compartment; indeed, neonatal influenza virus infection leads to iNKT cell-dependent protection from adult airway hyperreactivity in a mouse model of asthma<sup>68</sup>.

A recent study has shown that, compared with adult stem cells, fetal stem cells have a greater propensity to develop into iNKT cells. This provides an interesting possible mechanistic explanation for the distinct effects of early-life exposures on iNKT cells. The increased

Box 2 | **iNKT cells in chronic inflammatory diseases**

In addition to their well-described roles during infection, invariant natural killer T (iNKT) cells are important in several chronic inflammatory processes, including autoimmune disease<sup>3</sup>, asthma<sup>230</sup> and the metabolic syndrome<sup>231</sup>. In human autoimmune diseases, iNKT cell numbers are decreased in peripheral blood<sup>3,232</sup>, raising the possibility that iNKT cells are protective in autoimmune disease. It should be noted, however, that the decreased numbers of iNKT cells might be a consequence rather than a cause of disease, and the way in which iNKT cells regulate autoimmune diseases is still not clear in most cases. Going forward, it will be important to understand the contribution of iNKT cells to both the initiation and chronic phases of autoimmune disease.

iNKT cells are enriched in human adipose tissue, and their numbers decrease in obesity and recover with weight loss<sup>62</sup>. Using models in which mice were fed a high-fat diet, three recent studies identified a protective role for iNKT cells in the development of the metabolic syndrome<sup>53–55</sup>, whereas another found that iNKT cells contributed to this disease<sup>56</sup>. In a protective capacity, adipose tissue-resident iNKT cells were shown to produce cytokines such as interleukin-4 (IL-4) and IL-10, and these cytokines may regulate macrophage polarization and recruitment<sup>53,54</sup>. The finding that iNKT cells in adipose tissue produce predominantly IL-4 and IL-10, but make little interferon- $\gamma$ <sup>53,54</sup>, suggests that they have an immunoregulatory phenotype. Adipocytes have been reported to express CD1d<sup>55</sup>, and both distinct activating lipid antigens and other factors in the adipose tissue inflammatory milieu (such as adipokines) may regulate the activation of adipose tissue-resident iNKT cells. Targeting iNKT cells in obesity presents an appealing therapeutic avenue, and the iNKT cell-activating lipid antigen  $\alpha$ -galactosylceramide ( $\alpha$ GalCer) has been shown to ameliorate the metabolic defects associated with a high-fat diet in mice<sup>53,54</sup>.

frequency of iNKT cells generated by fetal stem cells was shown to be mediated by the upregulation of promyelocytic leukaemia zinc finger protein (PLZF) and was dependent on LIN28B, a regulator of microRNAs<sup>71</sup>.

**Innate-like mechanisms of iNKT cell activation**

**Lipid antigens for iNKT cells.** The lipid antigens for iNKT cells identified so far fall almost exclusively into two basic categories: ceramide-based glycolipids (glycosphingolipids); and glycerol-based lipids (such as membrane phospholipids). For the ceramide-based prototypical iNKT cell lipid antigen  $\alpha$ GalCer, the  $\alpha$  refers to the orientation of the glycosidic linkage between the carbohydrate head group and the lipid backbone (FIG. 1). As mammals are not known to attach anomeric carbohydrates to lipids in the  $\alpha$ -orientation, the  $\alpha$ -linkage provides a potential foreign structural motif for antigenicity. Indeed, antigenic glycosphingolipids with  $\alpha$ -linked glucuronic or galacturonic acid molecules have been found in *Sphingomonas* spp.<sup>72–74</sup>, and  $\alpha$ -linked glucosyl or galactosyl diacylglycerols have been found in *Borrelia burgdorferi*<sup>75</sup> and *Streptococcus pneumoniae*<sup>76</sup> (FIG. 1). Other foreign lipid antigens have been proposed to be recognized by the iNKT cell TCR, including a cholesterol ester produced by *Helicobacter pylori*<sup>68</sup>, lipopeptidophoglycans from *Leishmania donovani*<sup>77</sup> and *Entamoeba histolytica*<sup>78</sup>, and mycobacterial phosphatidylinositol mannoside<sup>79</sup>, but the antigenicity of these lipids is less well characterized. Although many investigators in the field had expected that these  $\alpha$ -linked microbial lipid antigens might largely explain how iNKT cells are activated during infection, direct evidence for a significant contribution of such lipid antigens has remained elusive. Nevertheless, a contextual role for foreign lipid antigens is likely, and future study is warranted regarding their contribution to iNKT cell activation during infection.

**MicroRNAs**

Small RNA molecules that regulate the expression of genes by binding to the 3'-untranslated regions of specific mRNAs.

iNKT cells are potently activated by antigen-presenting cells (APCs) that have been stimulated by Toll-like receptor (TLR) agonists in the absence of infection, and thus in the absence of pathogen-associated lipid antigens<sup>14–17,80</sup>. This activation still requires a signal from a lipid-CD1d complex, suggesting that lipid self antigens can contribute to iNKT cell activation during infection. Furthermore, iNKT cells are prominently activated during viral infections, cancer and autoimmune diseases, when foreign lipids are not present. These observations — along with the expectation that lipid self antigens are important for the development of iNKT cells in the thymus — led multiple groups to investigate the role of lipid self antigens in the activation of iNKT cells<sup>81</sup>. An initial approach for identifying lipid antigens that are presented by CD1d involved investigation of the iNKT cell compartment in the presence of genetic lesions that alter lipid metabolism. Through this approach, isoglobotrihexosylceramide (iGb3) (FIG. 1) was identified as a potential lipid self antigen based on the absence of iNKT cells in  $\beta$ -hexosaminidase B-deficient mice, which were predicted to have low iGb3 levels<sup>82</sup>. However, the significance of iGb3 as a self antigen in mice and humans has been called into question, as iGb3 synthase-deficient mice have a normal iNKT cell compartment<sup>83</sup>. Instead, the lack of iNKT cells in  $\beta$ -hexosaminidase B-deficient mice may be due to altered lysosomal function, as occurs in many lipid storage diseases<sup>84</sup>. In addition, iGb3 might not be antigenic for iNKT cells in most humans<sup>85</sup>, and humans do not express the synthase required to produce iGb3 (REF. 86).

Another approach used to identify lipid self antigens that are recognized by iNKT cells involved the elution and characterization of the lipids bound to CD1d molecules at the cell surface. The lipids eluted from CD1d included glycosphingolipids, glycerol-based phospholipids and lysophospholipids<sup>87–90</sup>. From these experiments, it was clear that most lipids presented by CD1d molecules do not activate iNKT cells. However, one group unexpectedly identified an iNKT cell lipid self antigen among human-CD1d-presented lysophospholipids (which contain a single fatty-acid tail)<sup>87</sup>. This lipid class can accumulate in human inflammatory conditions in which phospholipases are activated. Among the lysophospholipids, lysophosphatidylcholine (lysoPC) (FIG. 1) was found to be antigenic for a subset of human iNKT cell clones. Indeed, stimulation with lysoPC led to iNKT cell secretion of granulocyte-macrophage colony-stimulating factor (GM-CSF), but not of other cytokines associated with the strong activation of iNKT cells, such as interferon- $\gamma$  (IFN $\gamma$ ) and IL-4 (REF. 91). LysoPC does not appear to activate mouse iNKT cells<sup>85,92</sup>.

As most of the CD1d-eluted lipids that were detected appeared not to be stimulatory for iNKT cells, a more direct approach was undertaken, which involved testing the activity of fractionated cellular lipids for their ability to stimulate iNKT cells. As they are the closest mammalian structural counterparts to  $\alpha$ GalCer,  $\beta$ -linked galactose- and glucose-based mammalian glycosphingolipids were tested for their ability to activate iNKT cells. Synthetic  $\beta$ GalCer with a specific non-mammalian

fatty-acid tail has been shown to stimulate iNKT cells<sup>93,94</sup>, but mice deficient in lipids based on  $\beta$ -linked galactose — including  $\beta$ GalCer, sulphatide and the gangliosides of the central nervous system — have no apparent abnormalities in their iNKT cell compartment<sup>95</sup>. However, cell lines deficient in  $\beta$ -glucose-based lipids had a defect in their ability to stimulate the self-reactivity of iNKT cells, suggesting that a  $\beta$ -glucosyl lipid may indeed be a self antigen<sup>95</sup>. Partial protection from concanavalin A-induced hepatitis (an iNKT cell-dependent disease model) was reported following the administration of  $\beta$ -glucosylceramide ( $\beta$ GlcCer), suggesting that  $\beta$ GlcCer can alter iNKT cell responses<sup>96</sup>. The ganglioside GD3, a  $\beta$ -glucosyl glycosphingolipid, was also reported to be antigenic for a small subset of iNKT cells<sup>97</sup>.

Recently, antigenic activity was directly demonstrated for mammalian  $\beta$ GlcCer, and the activity was found to be critically dependent on the specific fatty-acid chains incorporated (FIG. 1). When injected into mice, some  $\beta$ GlcCer species found in mammalian tissues potentially activated iNKT cells. Importantly, antigenic  $\beta$ GlcCer species were shown to accumulate in APCs that were stimulated with TLR agonists, and these cells presented  $\beta$ GlcCer on CD1d molecules, promoting iNKT cell activation<sup>85</sup>. Thus,  $\beta$ GlcCer can serve as a danger signal that leads to the activation of iNKT cells during infection. This example suggests a theme for the recognition of lipid self antigens by iNKT cells: that simple  $\beta$ -linked self lipids may be recognized in a similar manner to their  $\alpha$ -linked microbial counterparts, albeit with a lower potency. In fact, crystal structure analyses of lipid antigens in complex with CD1d and an iNKT cell TCR reveal a remarkable degree of similarity between the complexes formed by  $\alpha$ - and  $\beta$ -linked lipids (see below). Whether  $\beta$ GlcCer is also involved in the thymic selection of iNKT cells is not known.

To identify lipid antigens that are involved in iNKT cell thymic selection, a lipid fractionation and activity-screening approach has been applied to thymic lipids. This recently led to the identification of plasmalogen lysophosphatidylethanolamine (plasmalogen lysoPE) (FIG. 1) — a glycerol-based lipid with a single fatty-acid chain attached through a vinyl ether linkage — as a potent iNKT cell antigen. Mice unable to generate lipids that contain vinyl ether linkages (known as plasmalogens) have reduced iNKT cell numbers, suggesting a role for this class of lipids in iNKT cell development<sup>98</sup>. The lipid self antigens involved in the selection of iNKT cells in the thymus may differ from those involved in iNKT cell activation in the periphery, and it will be interesting to see whether plasmalogens are also involved in the peripheral activation of iNKT cells.

For many of the self and microbial lipid antigens identified, specific structural features in either the head group or the fatty-acid tails distinguish these lipids from other lipids that are non-antigenic but sometimes more abundant (FIG. 1). How these structural features regulate antigenicity is not yet clear in all cases, but antigenicity may rely on efficient loading onto CD1d, a stable lipid-CD1d complex, and a particular topological orientation of CD1d or the lipid head group that results in recognition by the TCR<sup>99–102</sup>.

**CD1d-presented lipid antigens recognized by the iNKT TCR.** CD1d is a transmembrane protein that, like MHC class I, binds non-covalently to  $\beta_2$ -microglobulin. The antigen-presenting surface of CD1d comprises the  $\alpha 1$  and  $\alpha 2$  helices, below which lie two deep hydrophobic channels, termed the A' and F' channels. The crystal structure of  $\alpha$ GalCer-loaded CD1d revealed the two fatty-acid tails of  $\alpha$ GalCer buried in the hydrophobic channels and the head-group moiety at the surface of CD1d, exposed to the aqueous environment and accessible for recognition by the iNKT cell TCR<sup>103–104</sup>. The trimolecular complex of CD1d,  $\alpha$ GalCer and an iNKT cell TCR demonstrated a binding mode that is quite distinct from that adopted by MHC-restricted TCRs<sup>105</sup> (FIG. 2a,b). In general, for the recognition of a peptide loaded in an MHC molecule, both the  $\alpha$ - and  $\beta$ -chains of the TCR contact MHC  $\alpha$ -helices, and the interface with the  $\alpha\beta$  TCR is situated such that the two hypervariable complementarity-determining region 3 (CDR3) loops of the TCR recognize the presented peptide. For the iNKT cell TCR, the TCR  $\alpha$ - $\beta$  axis is, remarkably, rotated into an orientation that is more parallel with the antigen-binding cleft of the antigen-presenting molecule compared with the alignment of MHC-restricted TCRs. Moreover, the TCR is shifted to the F' channel end of CD1d, such that only the invariant TCR  $\alpha$ -chain is positioned directly over the head group of the lipid antigen and the surrounding regions of CD1d. CDR1 $\alpha$  and CDR3 $\alpha$  bind to the lipid head-group moiety, and CDR3 $\alpha$  also makes extensive contacts with the CD1d antigen-binding cleft, thus explaining the importance of the invariant TCR  $\alpha$ -chain in lipid recognition. CDR2 and CDR3 of the TCR  $\beta$ -chain make stabilizing contacts with CD1d at a site distant from the lipid head group<sup>105</sup>, and the degree of contact between CD1d and the TCR  $\beta$ -chain modulates the affinity of a specific iNKT cell TCR<sup>106,107</sup>. Although stabilizing interactions provided by the lipid antigen are crucial for the binding of most iNKT cell TCRs, most of the surface area and energy supporting TCR binding depends on contacts between CD1d and the TCR  $\alpha$ - and  $\beta$ -chains<sup>108</sup>. The binding of the iNKT cell TCR to CD1d and the presented  $\alpha$ GalCer molecule requires minimal conformational change from the non-TCR-bound state, suggesting a 'lock and key' type of recognition (in which the TCR is the lock and the lipid-CD1d complex is the key)<sup>105</sup>.

Recently, a relatively small population of iNKT cells expressing an alternative semi-invariant TCR that binds to  $\alpha$ GalCer-loaded CD1d tetramers was identified in mice. These cells express Va10Ja50 rather than Va14Ja18. Although Va10Ja50-expressing iNKT cells have a slightly different antigen preference from the more abundant Va14Ja18-expressing subset, a TCR- $\alpha$ GlcCer-CD1d crystal structure using the Va10Ja50 iNKT cell TCR revealed a very similar binding mode to that used by the Va14Ja18 iNKT cell TCR<sup>109</sup>. Humans also possess iNKT cells that do not express a canonical Va24Ja18 TCR  $\alpha$ -chain<sup>110,111</sup>, and the binding mode of one such non-canonical TCR to  $\alpha$ GalCer-loaded CD1d was again shown to be similar to that seen with the Va24Ja18 TCR<sup>112</sup>.

**Gangliosides**

A group of glycosphingolipids that are prominent components of nerve cell membranes.

**$\beta_2$ -microglobulin**

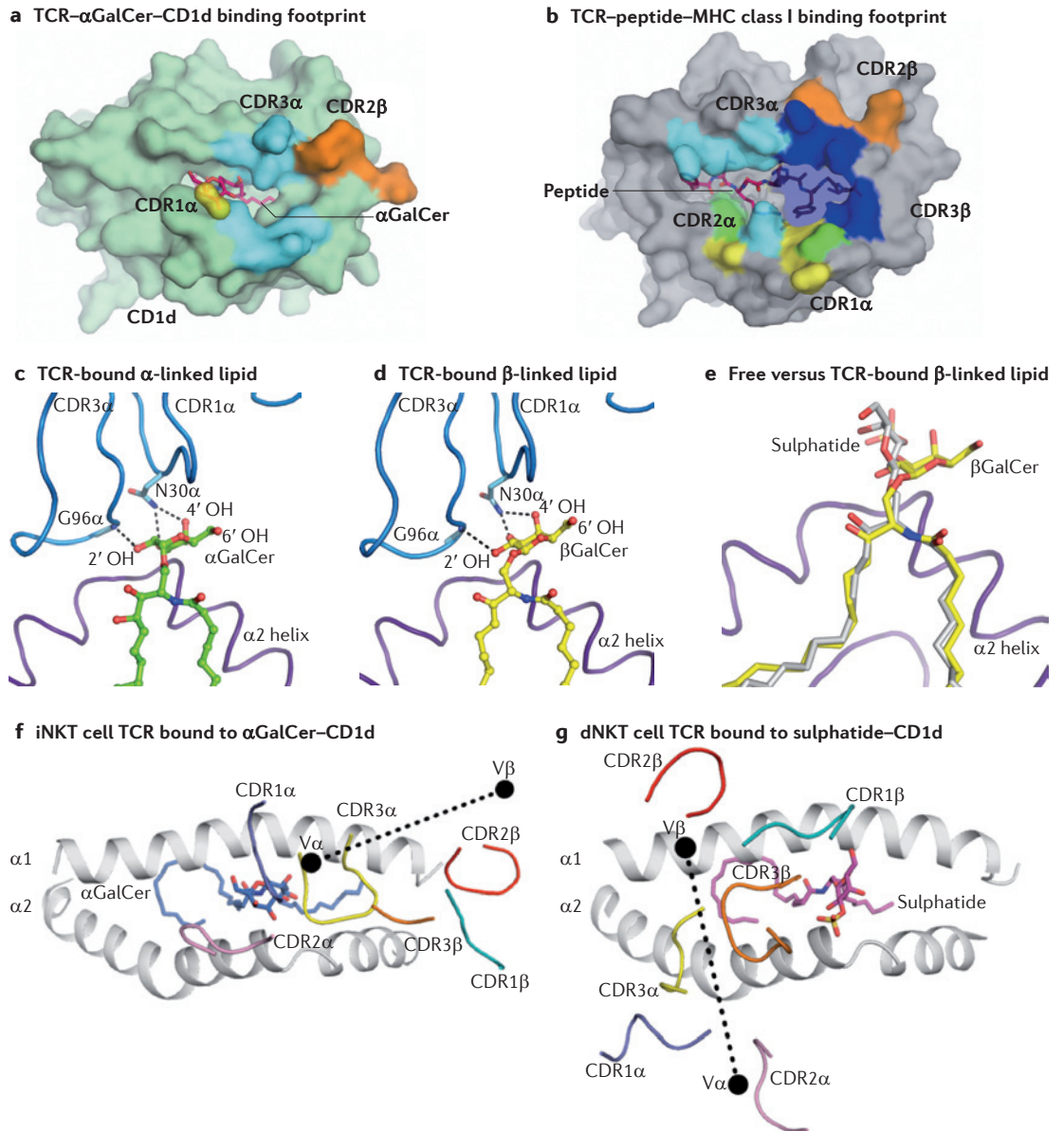
A protein comprising a single immunoglobulin-like domain that non-covalently associates with the main polypeptide chain of MHC class I molecules. In the absence of  $\beta_2$ -microglobulin, MHC class I molecules are unstable and are therefore found at very low levels on the cell surface.

**Complementarity-determining region 3 (CDR3)**

The CDRs are the amino-acid sequences of the B cell receptor and the T cell receptor that physically contact the antigen and are the most variable parts of the receptors. There are three such regions — CDR1, CDR2 and CDR3 — in each receptor chain. CDR3 arises from recombination of the variable (V), diversity (D) and joining (J) regions of each receptor chain and is the most variable CDR.

A mutational analysis of iNKT cell TCR ‘hotspots’ suggested a similar mode of TCR recognition for multiple different  $\alpha$ -linked lipid antigens, including a microbial  $\alpha$ -linked glycosphingolipid<sup>113</sup>. Moreover, crystal structures of  $\alpha$ -linked glycerol-based microbial lipid antigens

from *B. burgdorferi* and *S. pneumoniae* in complex with CD1d and an iNKT cell TCR demonstrated a binding mode that is very similar to that observed with  $\alpha$ GalCer. A comparison of TCR-bound and unbound lipid–CD1d complexes indicated that TCR binding results in a minor



**Figure 2 | The mode of binding for an iNKT cell TCR.** **a,b** | The space-filling models show the structure of CD1d in complex with  $\alpha$ -galactosylceramide ( $\alpha$ GalCer) and the structure of an MHC class I molecule with a bound peptide. The footprints of the interacting T cell receptor (TCR) loops are highlighted. Note that for the invariant natural killer T (iNKT) cell TCR, interactions with the lipid–CD1d complex are mediated only through the complementarity-determining region 1 (CDR1) and CDR3 loops in the TCR  $\alpha$ -chain. **c,d** | The ribbon diagrams show the regions of contact between the iNKT cell TCR and  $\alpha$ GalCer or  $\beta$ GalCer. They demonstrate that the carbohydrate head groups of both lipids adopt similar orientations following TCR binding. **e** | The ribbon diagram shows a comparison between the positions of the  $\beta$ -linked carbohydrate head group in a ‘free’ sulphatide–CD1d complex and a TCR-bound  $\beta$ GalCer–CD1d complex, illustrating how the head group is flattened by the iNKT cell TCR during binding. **f,g** | The ribbon diagrams show the docking modes of TCRs from an iNKT cell and a diverse NKT (dNKT) cell on lipid-loaded CD1d molecules. The iNKT cell TCR docks on CD1d with a parallel binding mode (parallel to the long axis of the CD1d apical surface), whereas the dNKT cell TCR docks in a perpendicular manner, similarly to the docking of an  $\alpha\beta$  TCR on an MHC molecule. The images were modified, with permission, from REF. 105 © (2007) Macmillan Publishers Ltd; REF. 115 © (2011) Macmillan Publishers Ltd; and REF. 119 © (2012) Macmillan Publishers Ltd. All rights reserved.

reorientation of the microbial  $\alpha$ -linked sugar head group and partial closure of a CD1d  $\alpha$ -helix over the F' pocket, suggesting that a degree of 'induced fit' is required for these microbial antigens to adopt a binding mode similar to that seen with  $\alpha$ GalCer<sup>99,114</sup>. Interestingly, two recent reports demonstrated that the recognition of  $\beta$ -linked lipids by the iNKT cell TCR results from the  $\beta$ -linked head group being flattened into a conformation similar to that naturally assumed by  $\alpha$ -linked lipids. This suggests a dramatic induced-fit recognition model for  $\beta$ -linked self lipid antigens<sup>115,116</sup>. Importantly, independent of whether the lipid component is  $\alpha$ -linked or  $\beta$ -linked, the final trimolecular complex of CD1d, lipid and TCR looks nearly identical (FIG. 2c,d,e). The structure of the trimolecular complex containing human CD1d with lysoPC and a reactive human iNKT cell TCR has also been determined, and although there are some differences in how lysoPC is recognized, as for  $\beta$ -linked glycosphingolipids the binding involves repositioning of the lipid head group so that it 'fits' the invariant TCR<sup>117</sup>. Recently, the crystal structure of a dNKT cell TCR in complex with a lipid antigen and CD1d has been solved and, in contrast to the iNKT cell TCR, this TCR adopts a binding mode similar to that of most MHC-restricted TCRs, with the CDR3 $\beta$  loop of the TCR contacting the lipid antigen<sup>118,119</sup> (FIG. 2f,g). This finding demonstrates that the binding mode of the iNKT cell TCR is not dictated by CD1d. More importantly, it suggests that, in addition to facilitating the invariant TCR–lipid–CD1d recognition mode described above, CD1d can present structurally diverse lipids to diverse  $\alpha\beta$  TCRs for adaptive-type recognition in a manner analogous to peptide–MHC complex recognition. Indeed, dNKT cells have recently been shown to display distinct and partially overlapping reactivities to diverse microbial and self lipid antigens<sup>233</sup>.

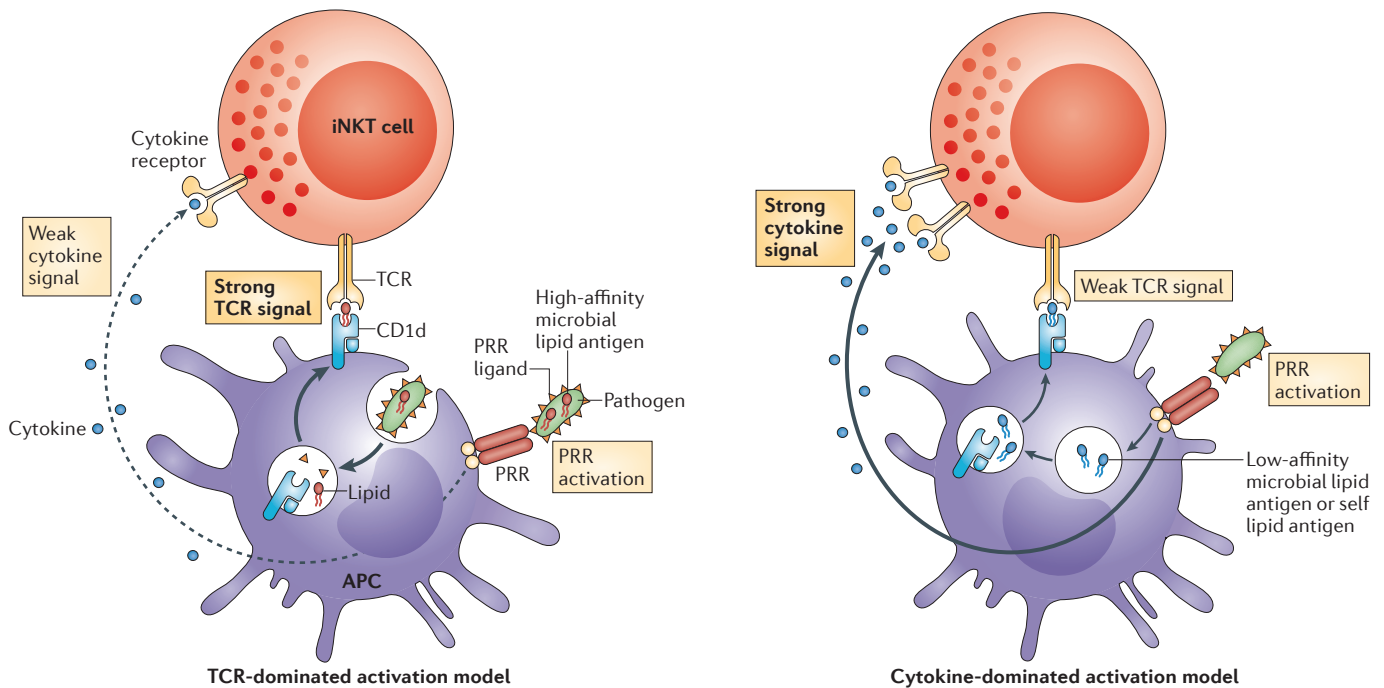
Taken together, the identified lipid self antigens and these structural studies suggest that, rather than using diverse TCR binding strategies to recognize a range of lipid antigens, the iNKT cell TCR uses a single binding strategy with variable degrees of induced fit for different lipid antigens. Not all lipids can be induced to fit the iNKT cell TCR, and studies with an engineered, highly self-reactive TCR  $\beta$ -chain demonstrate that many CD1d-bound lipids, particularly those with bulky head groups, cannot be reoriented and thus block TCR binding<sup>106</sup>. An antigenic lipid must either fit or be induced to fit the invariant TCR, but the latter process incurs an energetic penalty. Thus, for iNKT cells, the structure of the lipid antigen largely regulates the strength, not the specificity or final binding mode, of the TCR–lipid–CD1d interaction. Rossjohn and colleagues have recently provided an excellent in-depth Review of the structural aspects of CD1d-restricted lipid antigen binding<sup>120</sup>.

**Activation of iNKT cells by non-TCR signals.** Cytokine-driven activation may be extremely important for the physiological functions of iNKT cells<sup>14,121</sup>, and combinations of TCR and cytokine stimuli synergize for robust iNKT cell activation<sup>121</sup>. Whereas a TCR signal and a co-stimulation signal are the two signals required for the activation of naive MHC-restricted T cells, a TCR signal and a cytokine signal are typically required for

the activation of iNKT cells in physiological situations<sup>1</sup> (FIG. 3). MHC-restricted T cells can also be influenced by pro-inflammatory cytokines, but their outright activation by cytokine signals generally requires prior TCR-mediated activation. In the steady state, subsets of iNKT cells express the receptors for, and can be activated by, IL-12 (REFS 14,121), IL-18 (REFS 15,122), IL-23 (REFS 45,123) and IL-25 (REFS 124,125).

The best-described cytokine mediator of iNKT cell activation is IL-12, and some bacterial and viral infections lead to sufficient production of IL-12 by APCs to activate iNKT cells *in vivo*, even in the absence of TCR engagement by CD1d<sup>14,126,234</sup>. The remarkable capacity of a subset of iNKT cells to respond to IL-12 is due to the high-level expression of functional IL-12 receptors at baseline. By contrast, IL-12 receptor expression is induced on MHC-restricted T cells only following TCR-mediated activation. Both *in vitro* and *in vivo*, APCs that cannot make IL-12 or recognize microbial patterns are unable to support the productive activation of iNKT cells in response to various bacteria and fungi, including microorganisms for which iNKT cell lipid antigens have been identified. This suggests that even though signalling through the TCR–CD1d interaction is required for efficient iNKT cell activation in most cases, there is a dominant role for cytokine-mediated activation during many infections<sup>127,128</sup>. Addressing a possible mechanism for the synergy between TCR and cytokine signals, a recent report demonstrated that weak TCR signals may enhance the responsiveness of iNKT cells to cytokines through histone modification at effector cytokine loci<sup>129</sup>. Taken together, these data support a model in which iNKT cell activation is the result of a combination of TCR and cytokine signals, both of which can be modulated and can contribute to iNKT cell activation during infection.

iNKT cells express multiple receptors that are characteristic of natural killer (NK) cells<sup>130</sup>, and they were initially named 'NK T cells' owing to their expression of the NK cell receptor NK1.1 (REF. 131). Although the appropriateness of their name has been debated<sup>29</sup>, the prominent expression of both activating and inhibitory NK cell receptors on the surface of iNKT cells is likely to play an important part in both their activation and their regulation<sup>132</sup>. The ligand (or ligands) for NK1.1 is not known, but crosslinking NK1.1 with an antibody is sufficient to activate NK1.1<sup>+</sup> T cells<sup>133</sup>. Most human iNKT cells express the activating NK cell receptor CD161, and a ligand for this receptor — lectin-like transcript 1 (LLT1; also known as CLEC2D) — is upregulated by activated leukocytes, including dendritic cells exposed to TLR agonists<sup>134</sup>. The activating NK cell receptor NKG2D recognizes multiple stress-induced ligands<sup>135</sup>, and NKG2D ligation can also contribute directly to iNKT cell activation<sup>136</sup>. Activating NK cell receptors provide another pathway (in addition to activation by cytokines) through which iNKT cells can respond to inflammation in the absence of a specific activating lipid antigen. Conversely, it is likely that inhibitory NK cell receptors can negatively regulate iNKT cell activation<sup>137,138</sup>, although the extent of such regulation and its role in disease are not known. iNKT cells also preferentially express other



**Figure 3 | TCR- and cytokine-driven activation of iNKT cells.** Two signals are involved in the physiological activation of invariant natural killer T (iNKT) cells: a T cell receptor (TCR) signal provided by a lipid–CD1d complex; and a cytokine signal that depends on the constitutive expression of certain cytokine receptors by iNKT cells. The left panel shows activation by a strong foreign antigen, which is dominated by the TCR signal and has little dependence on antigen-presenting cell (APC)-derived cytokines that are generated in response to the stimulation of pattern-recognition receptors (PRRs). By contrast, the right panel shows cytokine-dominated iNKT cell activation. In this scenario, PRR-mediated activation of APCs leads to the generation of pro-inflammatory cytokines such as interleukin-12 (IL-12). For cytokine-mediated activation, a TCR signal is still required in most cases and can be provided by a low-affinity microbial lipid antigen or self lipid antigen. The relative contributions of TCR and cytokine signals to iNKT cell activation are likely to be context dependent.

receptors that can mediate or regulate their activation, such as phosphatidylserine receptors that may lead to iNKT cell activation in the presence of apoptotic cells<sup>139</sup> and adenosine receptors that have been shown to limit iNKT cell activation in ischaemia–reperfusion injury<sup>140,141</sup>.

Collectively, the insights gleaned from the study of lipid antigens, TCR binding and non-TCR-driven activation of iNKT cells suggest a dominantly innate strategy for antigen recognition and activation. Irrespective of whether the CD1d-presented lipid antigens are  $\alpha$ -linked microbial antigens or danger-induced  $\beta$ -linked self antigens, the mode of recognition by the TCR is essentially the same, and different lipid antigens impart different signal strengths rather than different specificities. During infection, the TCR signal is complemented by what appears to be an equal or even stronger signal from pro-inflammatory cytokines for which iNKT cells constitutively express surface receptors. These cytokines, like lipid self antigens, are induced by danger signals, such as microbial patterns. Thus, iNKT cells are activated by the innate-like recognition of danger or of pro-inflammatory signals (FIG. 3). Importantly, this allows for their activation even in the absence of foreign antigen recognition, by virtually any microorganism or non-infectious state in which pathogen-associated molecular patterns or danger-associated molecular patterns are sensed by APCs.

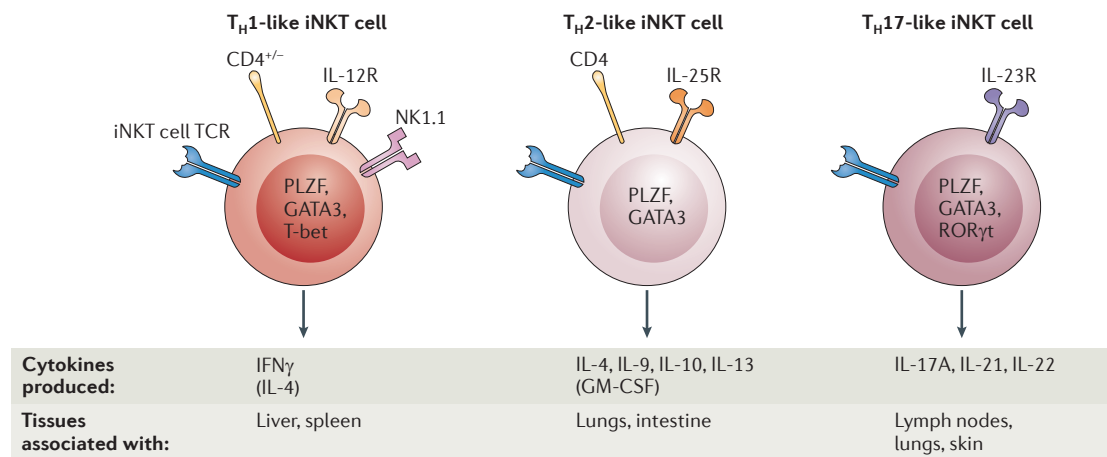
### Determining the outcome of iNKT cell activation

How can a relatively small population of lymphocytes with a nearly monospecific TCR repertoire have so many strong influences on immune responses? The answer to this lies in the contextual regulation of the multiple effector functions of activated iNKT cells and their rapid production of large amounts of many cytokines. The distinct mechanisms of iNKT cell activation can partially control the resulting effector functions. For example, the activation of iNKT cells with a potent lipid antigen leads to the production of both T helper 1 ( $T_H1$ )- and  $T_H2$ -type cytokines, whereas activation with IL-12 or TLR agonists leads to the production of IFN $\gamma$  but not to  $T_H2$ -type cytokine production<sup>14,15</sup>. In addition, different lipid antigens can influence not only the magnitude, but also the quality, of iNKT cell activation.  $\alpha$ GalCer variants have been generated that skew iNKT cells to produce either  $T_H1$ - or  $T_H2$ -type cytokines<sup>102,142–144</sup>, and similar phenomena are likely to occur with endogenous antigens and physiologically relevant foreign antigens. The mechanisms by which different lipids lead to polarized iNKT cell activation involve CD1d-binding kinetics, the subcellular localization of lipid-loaded CD1d molecules and antigen targeting to different APCs<sup>101,116,145,146</sup>. Besides the differences in how iNKT cells are activated, multiple phenotypically distinct

#### Ischaemia–reperfusion injury

An injury in which the tissue first suffers from hypoxia as a result of severely decreased, or completely arrested, blood flow. The restoration of normal blood flow then triggers inflammation, which exacerbates the tissue damage.





**Figure 4 | iNKT cell subsets.** The figure compares three proposed major subsets of invariant natural killer T (iNKT) cells. Crucial transcription factors and surface markers associated with each subset are shown. These subsets are associated with the constitutive expression of cytokine receptors for interleukin-12 (IL-12), IL-23 and/or IL-25. The major cytokines produced by each subset and the tissues in which they are enriched are indicated. GATA3, GATA-binding protein 3; GM-CSF, granulocyte-macrophage colony-stimulating factor; IFN $\gamma$ , interferon- $\gamma$ ; PLZF, promyelocytic leukaemia zinc finger protein; ROR $\gamma$ t, retinoic acid receptor-related orphan receptor- $\gamma$ t; TCR, T cell receptor; T<sub>H</sub>, T helper.

iNKT cell subsets have been identified. In addition, as iNKT cells orchestrate immune responses through their influence on other cell types, their localization and interactions with other cell types critically regulate the outcome of activation. How each of these factors affects the end result of iNKT cell activation is discussed below.

### iNKT cell subsets

Rapid production of cytokines — including IFN $\gamma$ , tumour necrosis factor (TNF), IL-2, IL-3, IL-4, IL-5, IL-9, IL-10, IL-13, IL-17, IL-21 and GM-CSF<sup>57,147</sup> — is a major outcome of iNKT cell activation. In both humans and mice, there are CD4<sup>+</sup> and CD4<sup>-</sup> iNKT cells, and the relative percentages of these populations vary widely among human individuals<sup>57-59</sup>. The expression of CD4 on human iNKT cells is a useful predictor of iNKT cells with the potential to generate T<sub>H</sub>2-type cytokines, but both CD4<sup>+</sup> and CD4<sup>-</sup> subsets can make T<sub>H</sub>1-type cytokines<sup>57,58</sup>. A substantial portion of T<sub>H</sub>1-like CD4<sup>-</sup> human iNKT cells expresses CD8 $\alpha$ , and a very small population expresses CD8 $\alpha\beta$ <sup>57,148</sup>. CD8 $\alpha$ <sup>+</sup> iNKT cells produce more IFN $\gamma$  and are more cytotoxic than the CD4<sup>+</sup> or CD4<sup>-</sup>CD8<sup>-</sup> subsets<sup>149</sup>.

Mouse iNKT cells do not seem to express CD8 in most circumstances, and CD4 expression only partially defines distinct iNKT cell subsets in mice<sup>147</sup>. Other cell-surface markers and transcription factors can, however, identify functionally distinct iNKT cell subsets in mice (FIG. 4). Two particularly useful surface markers that can be used in combination with CD4 to subdivide mouse iNKT cell populations are IL-17 receptor B (IL-17RB; a component of the IL-25 receptor) and NK1.1 (which is expressed by some inbred mouse strains). Just as the iNKT cell subset composition varies among humans, different mouse strains also have different subset compositions<sup>150</sup>. It should

also be noted that there is currently no single group of markers for the identification of iNKT cell subsets and that the subsets described below represent a proposed classification system.

Subsets of MHC-restricted CD4<sup>+</sup> T cells — such as T<sub>H</sub>1, T<sub>H</sub>2, T<sub>H</sub>17, regulatory T (T<sub>Reg</sub>) and follicular helper T (T<sub>FH</sub>) cells — have been well described. Subpopulations of iNKT cells analogous to most of these subsets have been identified, and some of the same transcription factors that serve as ‘master regulators’ of MHC-restricted T cell subsets also operate in iNKT cell subsets. The eventual functionality of an individual iNKT cell is likely to be determined by modular transcriptional programmes, including those driven by PLZF in conjunction with T-bet, retinoic acid receptor-related orphan receptor- $\gamma$ t (ROR $\gamma$ t), GATA-binding protein 3 (GATA3) and other transcription factors. Interestingly, iNKT cell subsets appear to acquire their identities during thymic development rather than as a result of peripheral experience<sup>151</sup>, although plasticity in iNKT cell subsets has not been adequately investigated.

**‘T<sub>H</sub>1-like’ iNKT cells.** Constituting the majority of iNKT cells in the mouse liver and spleen, T<sub>H</sub>1-like iNKT cells produce IFN $\gamma$ , do not express IL-17RB and can have either a CD4<sup>+</sup> or a CD4<sup>-</sup> phenotype<sup>125,151</sup>. IFN $\gamma$ -producing iNKT cells are mostly NK1.1<sup>+</sup>, although a portion of NK1.1<sup>-</sup> iNKT cells can also make IFN $\gamma$ <sup>147</sup>. These cells can produce some T<sub>H</sub>2-type cytokines, together with IFN $\gamma$ , in response to strong TCR stimuli, but they make only T<sub>H</sub>1-type cytokines in response to IL-12 (REF. 151). It is possible that under physiological activation conditions in which IL-12 is produced, these cells make only T<sub>H</sub>1-type cytokines. T<sub>H</sub>1-like iNKT cells express the T<sub>H</sub>1 cell-associated transcription factor T-bet. They also express CD122 (the  $\beta$ -chain of the IL-15 receptor) and require IL-15 for development<sup>151</sup>.

**Dedicated 'T<sub>H</sub>2-like' iNKT cells.** T<sub>H</sub>2-like iNKT cells are marked by the expression of IL-17RB and CD4, and they can produce IL-4, IL-9, IL-10 and IL-13 after activation. These cells can be activated by IL-25 and are enriched in the lungs, where they have been reported to contribute to T<sub>H</sub>2-type airway hyperreactivity in an IL-25-dependent manner<sup>124–125,151</sup>. T<sub>H</sub>2-like iNKT cells do not express T-bet, and they probably correspond to the few iNKT cells present in T-bet-deficient mice that are sufficient to mediate iNKT cell-mediated airway hyperreactivity<sup>152</sup>. At this point, no specific transcription factor has been uniquely associated with T<sub>H</sub>2-type cytokine production in iNKT cells. GATA3 — which regulates the production of T<sub>H</sub>2-type cytokines in MHC-restricted CD4<sup>+</sup> T cells — is expressed by all iNKT cells, and is not expressed at higher levels by T<sub>H</sub>2-like iNKT cells<sup>151</sup>. Although GATA3 is required for efficient iNKT cell development, the few iNKT cells that develop in GATA3-deficient mice have a profound defect in the production of T<sub>H</sub>2-type cytokines<sup>153</sup>, and it is possible that GATA3 expression in the absence of T-bet or ROR $\gamma$ t leads to the development of T<sub>H</sub>2-like iNKT cells. The transcription factor E4 promoter-binding protein 4 (E4BP4; also known as NFIL3) may have a role in the production of T<sub>H</sub>2-type cytokines by iNKT cells<sup>154</sup>, but this transcription factor is also required for T<sub>H</sub>17-type cytokine production by iNKT cells and thus is not specifically associated with T<sub>H</sub>2-like iNKT cells<sup>151</sup>.

**'T<sub>H</sub>17-like' iNKT cells.** The IL-17A-producing iNKT cells that resemble T<sub>H</sub>17 cells are mostly contained within the CD4<sup>+</sup>NK1.1<sup>-</sup> subpopulation<sup>45,123,155</sup>. IL-17A-producing iNKT cells have also been reported to have a CD4<sup>+</sup>IL-17RB<sup>-</sup> phenotype, and this population overlaps with the T<sub>H</sub>17-like CD4<sup>+</sup>NK1.1<sup>-</sup> subset<sup>151</sup>. Production of both IL-21 and IL-22 is reported to be limited to this population<sup>147,156</sup>. Like MHC-restricted T<sub>H</sub>17 cells, the T<sub>H</sub>17-like iNKT cell population expresses CC-chemokine receptor 6 (CCR6) and requires ROR $\gamma$ t for development, but, in contrast to MHC-restricted T<sub>H</sub>17 cells, T<sub>H</sub>17-like iNKT cells develop as a distinct population in the thymus<sup>45,151,157</sup>. This population also expresses IL-23R and produces IL-17A in response to IL-23-mediated activation<sup>45,123,151</sup>. T<sub>H</sub>17-like iNKT cells are enriched in the peripheral lymph nodes, lungs and skin<sup>45,147,155</sup>. In the lungs, these cells can respond to microbial infection<sup>155</sup> and contribute to a neutrophilic type of airway hyperreactivity<sup>158</sup>. Although IL-17-producing iNKT cells can be induced *in vitro* from peripheral human iNKT cells, evidence for a naturally occurring human T<sub>H</sub>17-like iNKT cell population is still limited<sup>159,160</sup>.

**Other iNKT cell subsets.** iNKT cell subsets analogous to other MHC-restricted CD4<sup>+</sup> T cell populations — including T<sub>FFH</sub> cells and possibly T<sub>Reg</sub> cells — have also been identified. The production of IL-21 by a B cell lymphoma 6 (BCL-6)-dependent 'follicular helper' iNKT cell subset expressing programmed cell death protein 1 (PD1) and CXCR5 has been recently described<sup>161,162</sup>. Forkhead box P3 (FOXP3)-expressing iNKT cells have

only been demonstrated following artificial induction *in vitro*<sup>163</sup>, but IL-10-producing iNKT cells (that are presumably FOXP3<sup>-</sup>) have been identified in various physiological and pathological conditions, including in adipose tissue<sup>53–56</sup> and in the peripheral blood of patients with systemic lupus erythematosus (SLE)<sup>164</sup>.

### iNKT cell interactions with other leukocytes

Cytokines, chemokines and surface molecules expressed by iNKT cells profoundly influence many other cell types. Furthermore, the interactions between iNKT cells and their CD1d-expressing cognate partners are at the core of the ability of iNKT cells to orchestrate immune responses, as outlined below (FIG. 5).

**The iNKT cell–dendritic cell axis.** Dendritic cells express CD1d constitutively and have been shown to be the major splenic population mediating iNKT cell activation *in vivo* following the intravenous introduction of soluble lipid antigens<sup>165–167</sup>. Similarly, in the lungs following aerosolized  $\alpha$ GalCer exposure, both dendritic cells and macrophages can capture lipid antigens and present them to iNKT cells, although it is the pulmonary dendritic cells that carry the antigen to draining lymph nodes, where they can also present protein antigens to MHC-restricted T cells<sup>51</sup>. The presentation of lipid antigens to iNKT cells by dendritic cells leads to an iNKT cell response characterized by strong IFN $\gamma$  production as well as NK cell transactivation<sup>143,145,166,168</sup>. This response is qualitatively different from that induced by antigens presented by other APCs. The phagocytosis of microorganisms or the uptake of lipid-binding proteins (such as low-density lipoprotein particles) can mediate the delivery of lipid antigens to dendritic cells<sup>169</sup>, and the targeting of lipid antigens to various APCs can critically depend on lipid structure and can alter the biological outcome<sup>145</sup>.

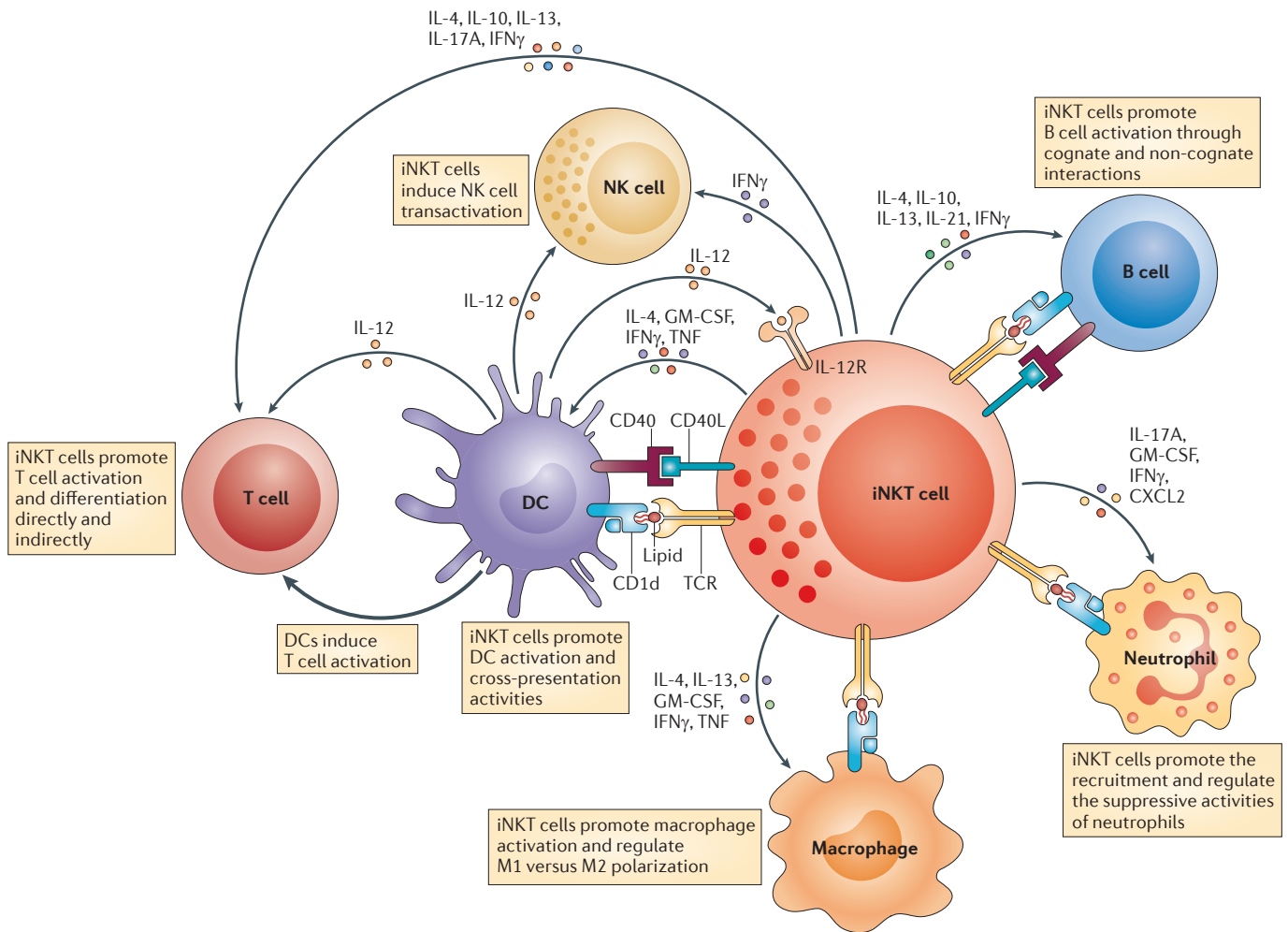
A major lesson learnt from the study of the interactions between iNKT cells and dendritic cells is that activation is bidirectional. During infection, signals from pattern-recognition receptors (PRRs) activate dendritic cells to produce IL-12 and upregulate their production of stimulatory lipid antigens for iNKT cells<sup>16,17,85</sup>. After an iNKT cell recognizes a cognate self or foreign lipid antigen displayed by CD1d on the surface of an APC, CD40–CD40 ligand interactions lead to further IL-12 production by the dendritic cell. Resting iNKT cells express the IL-12 receptor<sup>127</sup>, and its expression is further upregulated in response to dendritic cell-derived IL-12, which together with dendritic cell-expressed ligands for co-stimulatory receptors contributes to iNKT cell activation<sup>121,166</sup>. This dendritic cell–iNKT cell interaction then leads to NK cell transactivation<sup>170</sup>, enhanced responses to protein antigens by MHC-restricted CD4<sup>+</sup> and CD8<sup>+</sup> T cells<sup>171,172</sup>, and the licensing of dendritic cell cross-presentation<sup>173</sup>. Thus, through bidirectional interactions, iNKT cells and dendritic cells cooperate to amplify and direct ensuing innate and adaptive immune responses. This ability of activated iNKT cells to promote the development of adaptive T cell responses has important

#### NK cell transactivation

The secondary activation of a natural killer (NK) cell by interleukin-12 (IL-12), which leads to the production of interferon- $\gamma$ . This process occurs following the primary activation of an IL-12-producing cell type, such as an activated dendritic cell.

#### Cross-presentation

The ability of certain antigen-presenting cells to load peptides that are derived from exogenous antigens onto MHC class I molecules. This property is atypical, because most cells exclusively present peptides from their endogenous proteins on MHC class I molecules. Cross-presentation is essential for the initiation of immune responses to viruses that do not infect antigen-presenting cells.



**Figure 5 | Interactions between iNKT cells and other leukocytes.** The presentation of self or foreign lipid antigens facilitates cognate interactions between invariant natural killer T (iNKT) cells and CD1d-expressing antigen-presenting cells (APCs), including dendritic cells (DCs), macrophages, neutrophils and B cells. This leads to iNKT cell activation and reciprocal APC activation or modulation. The major iNKT cell-derived cytokines that modulate the functions of the iNKT cell cognate partner during immune activation are shown. Both iNKT cell-derived factors and APC-derived factors can activate other cell types — such as natural killer (NK) cells and MHC-restricted T cells — in a CD1d-independent manner. For example, DCs activated by iNKT cells secrete interleukin-12 (IL-12) to transactivate NK cells, and they can also enhance the ensuing adaptive immune responses mediated by MHC-restricted T cells. CD40L, CD40 ligand; CXCL2, CXC-chemokine ligand 2; GM-CSF, granulocyte-macrophage colony-stimulating factor; IFN $\gamma$ , interferon- $\gamma$ ; IL-12R, IL-12 receptor; TCR, T cell receptor; TNF, tumour necrosis factor.

clinical implications. Indeed, lipid antigens recognized by iNKT cells (such as  $\alpha$ GalCer) have been shown to perform effectively as vaccine adjuvants for the generation of protective T cell immunity to co-administered protein antigens<sup>174,175</sup>.

**The iNKT cell–B cell axis.** iNKT cells are well equipped to influence B cell function, as they can produce IL-4, IL-5, IL-6, IL-13 and IL-21 (REF. 147) and also express CD40 ligand<sup>30</sup>. Marginal-zone B cells express the highest CD1d levels of any splenic APC<sup>20</sup>, but whether these cells contribute to iNKT cell activation in disease remains unclear<sup>165,176,177</sup>. iNKT cells can provide cognate B cell help<sup>178</sup>; this occurs when the iNKT cell stimulatory lipid antigen is linked to a specific B cell epitope. The B cell then recognizes the antigen complex and internalizes it,

allowing the stimulatory lipid to be presented by CD1d on the same B cell. Recently, a specialized iNKT cell population with characteristics of T<sub>PH</sub> cells was described that produces IL-21, requires BCL-6 and can provide CD1d-dependent cognate B cell help. Help for B cells from this iNKT cell population results in rapid immunoglobulin production and some affinity maturation, but does not lead to the generation of long-term B cell memory<sup>161,162</sup>. Interestingly, a human iNKT cell population with surface markers suggestive of follicular helper function was also identified in tonsils<sup>161</sup>, although whether these cells provide cognate help to B cells remains to be determined. These findings suggest that cognate help from iNKT cells may have a role in enhancing early IgM and IgG responses during infection, but not in generating recall responses.

In the studies cited above, lipid antigens were designed to enable iNKT cells to provide cognate help to antigen-specific B cells. However, when  $\alpha$ GalCer is co-administered with (but not directly conjugated to) a protein antigen, so that lipid recognition by iNKT cells can occur separately from antigen recognition by B cells, iNKT cells can still provide efficient B cell help<sup>179–181</sup>. Indeed, when activated in an inflammatory milieu, iNKT cells can provide such non-cognate B cell help<sup>182</sup>, and this does not require germinal centre localization or SLAM-associated protein (SAP)<sup>183</sup>. Thus, the possibility of using  $\alpha$ GalCer or other iNKT cell-activating lipids<sup>184</sup> as vaccine adjuvants aimed at enhancing antibody production is an appealing opportunity. Two informative reviews have recently covered the targeting of iNKT cells in vaccine strategies<sup>185,186</sup>.

Recent data suggests that B cells may regulate iNKT cells both during homeostatic conditions and during chronic inflammation. In several autoimmune disorders, patients have decreased numbers of peripheral iNKT cells compared with healthy controls<sup>3</sup>. The relationship between iNKT cells and B cells has recently been more closely investigated in humans with SLE. Patients with active SLE had low numbers of iNKT cells with reduced levels of IFN $\gamma$  and TNF production, but increased IL-10 production, compared with healthy controls. These characteristics were correlated with the presence of 'defective' B cells that had altered CD1d expression and a reduced ability to stimulate iNKT cells. Interestingly, the iNKT cell parameters were normalized and 'healthy' B cells re-emerged in patients who responded to therapy. This study suggests the possibility that CD1d-dependent interactions between B cells and iNKT cells have a role in the regulation of iNKT cell homeostasis<sup>164</sup>.

**The iNKT cell–macrophage axis.** Some APC populations are involved in lipid antigen presentation in an organ-specific manner. The liver is highly enriched for iNKT cells and is an important site for the recognition and clearance of blood-borne pathogens, including those that have entered from the alimentary system. In the liver, Kupffer cells and stellate cells, both of which are specialized macrophage populations, can present lipid antigens to iNKT cells<sup>187,188</sup>. Using intravital microscopy, Kupffer cells have been shown to play a key part in iNKT cell activation and bacterial clearance in liver sinusoids following infection with *B. burgdorferi*, a microorganism known to produce an  $\alpha$ -linked lipid antigen for iNKT cells<sup>48</sup>. In addition to having a crucial role in the liver, macrophages also can mediate iNKT cell activation in lymph nodes, where it was shown that CD169<sup>+</sup> subcapsular sinus macrophages — a population known to take up and present particulate antigens — can present lipids derived from particulate antigens to iNKT cells residing in the paracortex<sup>189</sup>.

In a bidirectional manner, activated iNKT cells can alter macrophage phenotypes in both beneficial and harmful ways. iNKT cell activation can improve the course of pulmonary infections with *Pseudomonas aeruginosa* or *Mycobacterium tuberculosis*, in which iNKT cell-derived IFN $\gamma$  can lead to enhanced

phagocytosis and bacterial clearance by pulmonary macrophages<sup>190,191</sup>. Following common viral infections, human allergic asthma often worsens, and symptoms can persist for weeks. In a mouse model of post-viral airway disease, iNKT cells were shown to contribute to chronic lung disease by inducing the differentiation of M2 macrophages<sup>192</sup>, providing an example of harmful macrophage regulation. The production of GM-CSF by iNKT cells may alter the functional capacity of blood monocytes, shifting them towards a more dendritic cell-like phenotype<sup>193,194</sup>. In addition, iNKT cells have been shown to contribute to the low degree of adipose inflammation in the lean state by polarizing macrophages to an M2 phenotype, a function that is lost in obesity<sup>52–54</sup>. Finally, iNKT cells may control tumour growth in part by killing or altering the phenotype of tumour-associated macrophages, a cell population that supports the growth of some neoplasms<sup>5,195</sup>.

**The iNKT cell–granulocyte axis.** As rapid responders to infection, iNKT cells and neutrophils share functional duties. It is not surprising therefore that these two cell types also interact. During some infections (including pulmonary infections with *S. pneumoniae* or *P. aeruginosa*), iNKT cells have a key role in recruiting neutrophils to the infected tissue, for example by secreting CXCL2 (also known as MIP2)<sup>190,196</sup>. iNKT cells have also been shown to regulate neutrophil recruitment during ischaemia–reperfusion injury<sup>140,197</sup>, during ozone exposure<sup>158</sup> and following the administration of aerosolized lipid antigens<sup>155</sup>.

Cognate interactions between iNKT cells and neutrophils lead to both iNKT cell activation and reciprocal neutrophil regulation. The acute-phase reactant protein serum amyloid A1 (SAA1) promotes the development of IL-10-producing immunosuppressive neutrophils. iNKT cells that interact with SAA1-exposed neutrophils can reverse the suppressive phenotype of these neutrophils and promote IL-12 production. This ability of iNKT cells to switch neutrophils from a regulatory to a pro-inflammatory phenotype provides another mechanism whereby iNKT cells may contribute to antitumour responses or to microbial defence<sup>198</sup>. In some situations, neutrophils may suppress iNKT cell cytokine production through an undefined contact-dependent mechanism, thereby limiting their ongoing contribution to inflammation<sup>199</sup>.

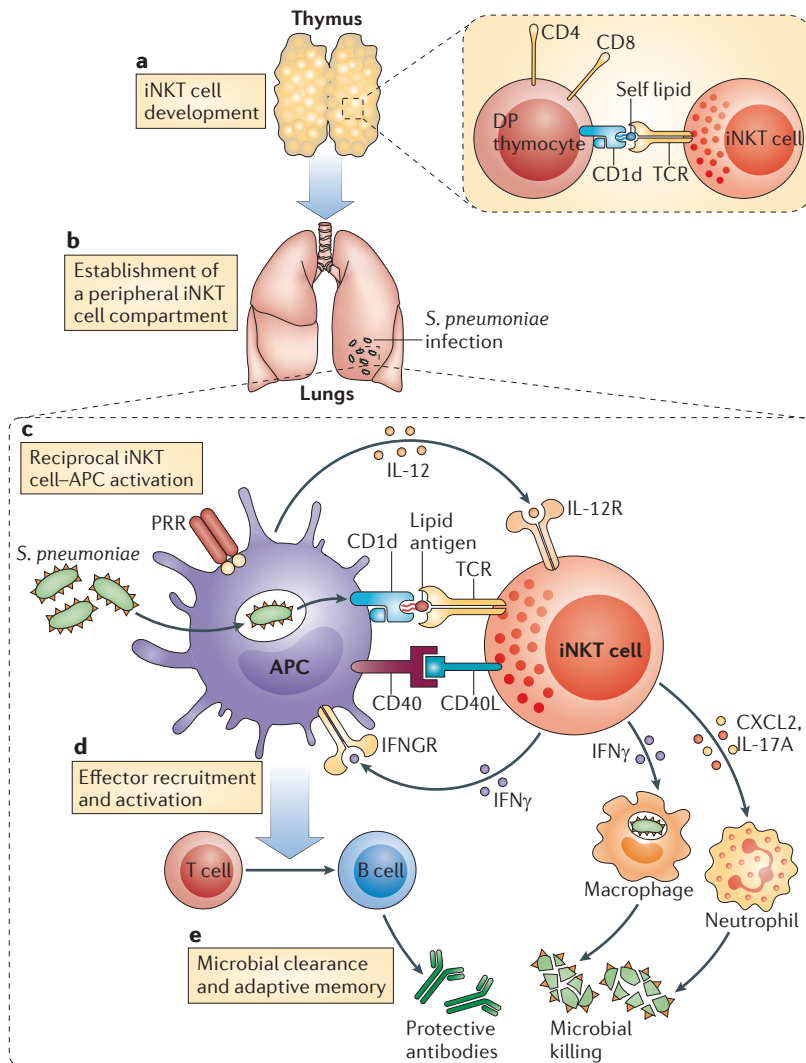
### Summary: putting the pieces back together

In the sections above, we have deconstructed many of the key aspects of iNKT cell activation and their effector functions. During pathological processes, however, these aspects of iNKT cell biology are inextricably interconnected. To reconstruct the aspects of iNKT cell biology discussed above, we take bacterial and viral pulmonary infections as examples to illustrate how iNKT cells can influence disease outcomes.

**Bacterial infection.** In *S. pneumoniae* infection of the lungs, iNKT cells have a major role<sup>127,196</sup>. A summary of the major iNKT cell-regulated control points in *S. pneumoniae* infection is shown in FIG. 6. Before the

#### M2 macrophages

Macrophages that differentiate in response to interleukin-4 (IL-4) or IL-13 and are thought to mediate T helper 2-type immune responses, such as protection from parasites and wound healing. M2 macrophages are typically defined by their expression of arginase 1, the mannose receptor CD206 and the IL-4 receptor  $\alpha$ -chain, and they can produce large amounts of IL-10.



**Figure 6 | iNKT cells during microbial infection.** The figure shows a summary of the aspects of invariant natural killer T (iNKT) cell biology that determine the influence of these cells on the immune response during infection with *Streptococcus pneumoniae*. **a** | iNKT cells are selected in the thymus by self lipid antigens presented on CD1d by double-positive (DP) thymocytes. As a result of positive selection, iNKT cells express the transcription factor promyelocytic leukaemia zinc finger protein (PLZF) and leave the thymus as ‘poised effectors’. **b** | iNKT cells exhibit subset-specific tissue distribution patterns that contribute to their functional potential. The homing and tissue retention of iNKT cell subsets is controlled in part by adhesion receptors, such as lymphocyte function-associated antigen 1 (LFA1), and also by chemokines, such as CXC-chemokine ligand 16 (CXCL16), and is regulated in part during early life by the commensal microflora. In addition to the existence of tissue-resident iNKT cells, other iNKT cells can be recruited to the tissues during immune responses. **c** | Following exposure to a microorganism such as *S. pneumoniae*, an antigen-presenting cell (APC) can present both self and foreign lipid antigens. The activation of APCs by microbial products leads to the production of self lipid antigens and pro-inflammatory cytokines such as interleukin-12 (IL-12). Lipid antigens and pro-inflammatory cytokines mediate the reciprocal activation of iNKT cells and APCs, as described in FIG. 5. **d** | Activated iNKT cells produce interferon- $\gamma$  (IFN $\gamma$ ), IL-4, IL-13, IL-17A, other cytokines and chemokines, all of which promote the recruitment and activation of both innate and adaptive immune cell types. Cytokines produced by the reciprocal activation of iNKT cells and dendritic cells can transactivate other innate immune cell types, including macrophages. **e** | Innate immune cells such as macrophages and neutrophils that have been recruited by iNKT cell-derived cytokines and chemokines promote the early innate clearance of the pathogen. APCs that have been activated through their interactions with iNKT cells, including by CD40–CD40 ligand (CD40L) interactions, initiate antigen-specific adaptive lymphocyte responses and protective immunity. IFN $\gamma$ , IFN $\gamma$  receptor; IL-12R, IL-12 receptor; PRR, pattern-recognition receptor; TCR, T cell receptor.

onset of infection, the iNKT cell development process results in the generation of ‘poised effector’ tissue-resident iNKT cells. Both the size and tissue localization of the iNKT cell compartment — including the CXCL16–CXCR6-mediated localization of iNKT cells in the lungs — is regulated in part by the commensal microflora<sup>66</sup>. During *S. pneumoniae* infection, inhaled bacteria are engulfed by APCs (such as dendritic cells and macrophages), and this could lead to the presentation of an antigenic microbial lipid, namely  $\alpha$ -glucosyldiacylglycerol ( $\alpha$ GlcDAG), by CD1d molecules<sup>76</sup>. PRR-mediated activation of dendritic cells leads to the synthesis of lipid self antigens (such as  $\beta$ GlcCer)<sup>85</sup> and to the secretion of IL-12 (REF. 127). The synergistic effect of IL-12 and TCR-mediated stimulation by self and/or foreign lipid antigens leads to the robust activation of resident and newly recruited iNKT cells, a process that occurs during the first few days of infection<sup>127,196</sup>. The secretion of IL-12 by dendritic cells in conjunction with IFN $\gamma$  production by iNKT cells may lead to the transactivation of NK cells<sup>145,168,170</sup>. Activated iNKT cells, including the T<sub>H</sub>17-like iNKT cell subset, can recruit neutrophils to the lungs by producing CXCL2 and/or IL-17A<sup>155,190,196</sup>. iNKT cell-derived IFN $\gamma$  also activates macrophages, a process that is crucial for microbial clearance<sup>190,191</sup>. Activated iNKT cells also reciprocally modulate the functions of dendritic cells, which can then promote adaptive MHC-restricted T cell responses<sup>171,172</sup>. Subsequently, activated MHC-restricted T cells and specialized follicular helper iNKT cells can provide help for B cells in the presence of foreign lipid antigens<sup>161,162</sup>. Thus, iNKT cell activation contributes to the innate control of *S. pneumoniae* as well as to protective antibody responses<sup>200</sup>.

**Viral infection.** Another instructive example of the role of iNKT cells is influenza virus infection. Studies in CD1d-deficient animals indicate that iNKT cells contribute to the control of influenza virus infection of the lungs<sup>201,202</sup>, and exogenous iNKT cell activation with  $\alpha$ GlcCer can improve the outcome of influenza virus infection and promote the generation of protective antibodies<sup>203,204</sup>. As is the case during bacterial infection of the lungs, iNKT cells may also facilitate the recruitment and activation of other innate and adaptive immune cells that promote viral clearance. However, the crucial cognate partner for iNKT cells during influenza virus infection has been proposed to be the myeloid-derived suppressor cell (MDSC), and iNKT cell activation in this case is probably dependent on CD1d and the PRR-mediated synthesis of stimulatory lipid self antigens. MDSCs are recruited to the lungs early during infection, and they can suppress CD8<sup>+</sup> T cells that are crucial for the clearance of influenza virus. Through cognate interactions with MDSCs, iNKT cells prevent the MDSC-mediated suppression of CD8<sup>+</sup> T cells<sup>201</sup>. iNKT cells may also be beneficial during the resolution of infection, and they have been proposed to protect the lungs from inflammatory damage through IL-22 production<sup>156</sup> or cytotoxicity<sup>205</sup>.

Myeloid-derived suppressor cell

(MDSC). A member of a heterogeneous population of immature myeloid cells with immunosuppressive functions. MDSCs can accumulate in tissues during inflammation or in response to tumour-derived cytokines.

iNKT cells can also have harmful effects following viral infection in the lungs. After Sendai virus infection, the pulmonary T<sub>H</sub>2-like iNKT cell subset can be activated, a process that leads to prolonged airway hyper-reactivity as a result of the polarization of macrophages to an M2 phenotype by iNKT cell-derived IL-13 (REF. 192). Early-life viral infection can also influence the subsequent development of allergen-induced airway hyperreactivity by altering the functional phenotype of the iNKT cell compartment. Although iNKT cells are required for allergen-induced airway hyperreactivity<sup>206,207</sup>, and αGalCer is sufficient to induce this pathology in adult animals<sup>208</sup>, early-life influenza virus infection was shown to be associated with iNKT cell-dependent suppression of airway hyperreactivity, possibly through the induction of regulatory T cells<sup>68</sup>.

iNKT cells have a pivotal role in shaping both innate and adaptive immune responses that occur during infection, autoimmune disease, allergy and cancer. The examples above illustrate how, in different situations, iNKT cells in a single organ can lead to varied pathologies, underscoring how the functional roles of iNKT cells in disease processes rely on several factors, including the inflammatory milieu, the lipid antigen(s) involved, the iNKT cell subset(s) involved and the APC(s) with which the iNKT cells interact. The relative contribution of each of these factors is likely to be context dependent, and defining the disease-specific balance of these factors presents a significant and exciting ongoing challenge. Unravelling the mechanisms of iNKT cell activation is not only crucial for understanding iNKT cell biology; it will also ultimately determine the success of targeting iNKT cells for therapeutic applications.

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**Competing interests statement**

The authors declare no competing financial interests.

**FURTHER INFORMATION**

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